

| attachment |  |
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| to         |  |
| item 117   |  |

Draft Community Engagement Policy

date of meeting: 26 June 2007 location: council chambers time: 5:00 p.m.

# Community Engagement Policy

## 1. Purpose

- 1.1 To broadly define Hawkesbury City Council's approach to building relationships with the community and other stakeholders.
- 1.2 To establish a framework to assist community members and other stakeholders to form reasonable expectations about their dealings or contact with Council.
- 1.3 To outline the extent to which community members and other stakeholders will be involved in decision making processes where Council retains responsibility for a final decision.
- 1.4 To provide guidelines for Council staff and Councillors in the practical application of appropriate community engagement strategies and tools which take into account the circumstances, desired outcomes, and costs of specific proposals for involving community members and other stakeholders in decision making processes.

#### 2. Objectives

- 2.1 The aim of this policy is to establish guidelines for an open and accountable democratic partnership to enable Council staff and Councillors to work collaboratively with community members and other stakeholders. The Policy has been enacted to:
  - (a) provide a fair opportunity for community members and other stakeholders to communicate with Council about issues and decisions which may affect them;
  - (b) increase the effectiveness of dealings between Council and the community;
  - (c) clarify the shared responsibilities of Council staff, Councillors, community members and other stakeholders who may be involved in community engagement activities;
  - (d) assist Council to meets its statutory obligations as defined by state and federal legislation; and
  - (e) assist community members and other stakeholders to gain a clear understanding the avenues available to them for constructive engagement with Council.

#### 3. Background

- 3.1 There are obligations under a number of state and federal Acts and Regulations for public notification to be undertaken. This legislation includes, but is not limited to, the *Local Government Act 1993* and the *Environmental Planning and Assessment Act 1979.*
- 3.2 A range of other legislative and statutory instruments also requires Council to involve the community in decision making and to consult with them to develop plans to guide the environmental, social, economic and cultural development of the City of Hawkesbury.
- 3.3 Section 8 (1) of the *Local Government Act 1993* [The Council's Charter] makes clear reference to the principles of community engagement and participatory decision making in stating that Council has a responsibility;

- to facilitate the involvement of councillors, members of the public, users of facilities and services and council staff in the development, improvement and co-ordination of local government;
- to keep the local community and the State government (and through it, the wider community) informed about its activities.
- 3.4 Section 232 (1) of the *Local Government Act 1993* recognises that Councillors have a representative role in considering the views of constituents and communicating with them.

## 4. Definitions<sup>1</sup>

- 4.1 <u>engagement</u> within this policy 'engagement' is used as a generic, inclusive term to describe the broad range of interactions between people. It can include a variety of approaches, such a one-way communication or information delivery, consultation, involvement in decision making, and empowered action in informal groups or formal partnerships.
- 4.2 <u>community</u> within this policy 'community' is used in its broad sense to define groups of people; whether they are stakeholders, interest groups, citizen groups etc. A community may be a geographic location (community of place), a community of similar interest (community of practice), or a community of affiliation or identity (such as industry or sporting club).
- 4.3 <u>community engagement</u> within this policy 'community engagement' refers to a planned process with the specific purpose of working with identified groups of people, whether they are connected by geographic location, special interest or affiliation, to investigate and address issues affecting their well being.

## 5. Principles

- 5.1 Hawkesbury City Council recognises that community members and other stakeholders can play an integral part in decision making and planning for the sustainable development of the City of Hawkesbury.
- 5.2 Council strongly believes that effective community engagement is an essential foundation of good governance and will actively seek to encourage and involve community members and other stakeholders in the business of local government.
- 5.3 Council recognises that effective community engagement requires an understanding of the basic concepts of public participation. Council's approach to community engagement will conform with good practice guidelines as developed by the *International Association for Public Participation*. <u>Table 1</u> outlines in broad terms, Council's understanding of the concepts which will underpin community engagement interactions between Council and the community.
- 5.4 Council will ensure that its community engagement activities will adhere to the following core principles;
  - 5.4.1 *Inclusiveness* Council will strive to ensure that all affected parties are provided the same opportunity to participate in community engagement activities and recognises the benefit of achieving balanced representation in its community partnerships. In designing community engagement activities, Council will take

<sup>&</sup>lt;sup>1</sup> Definitions adapted from *'Effective Engagement: building relationships with community and other stakeholders*', the Department of Sustainability and Environment, Melbourne, 2005.

into account the access needs of the diverse communities within the City to maximise the ability of these groups to participate in decision making processes.

- 5.4.2 *Clarity* Council will provide a clear statement to participants as to the purpose of a community engagement activity, what is required from participants, the decision making process, and the degree to which participants can influence this process.
- 5.4.3 *Effective Communication* Council will communicate information in a simple and clear format. Council recognises that it may be necessary to tailor its community engagement processes to enable some communities or individuals to participate. Where possible, Council will provide information in alternate formats and will have regard to the preferred methods of receiving and communicating information of different community groups.

| Strategy                                   | INFORM  | CONSULT  | INVOLVE  | COLLABORATE   | EMPOWER  |
|--|---|--|--|---|--|
| Community<br>Engagement<br>Goal            | provide community members +<br>stakeholders with balanced and<br>objective information on plans,<br>decisions, policies or strategies.  | provide information to obtain<br>feedback from community<br>members + stakeholders on<br>analysis, alternatives + decisions  | work directly with community<br>members + stakeholders to<br>understand and consider their<br>concerns and aspirations   | partner with community members +<br>stakeholders to develop<br>alternatives and identify preferred<br>solution.   | delegate to community members +<br>stakeholders the power to make<br>decisions (for specified functions<br>and activities)   |
| Community<br>Engagement<br>Method          | Council communicates information<br>to assist community to understand<br>issues in order to increase<br>community's ability to tackle issues<br>+ comply with regulations and<br>other required changes.  | Council provides information (at a given point in time) to seek community views and input into policy, plans and decisions - feedback used to inform decision making process.  | in time) to seek appoints community reps + in<br>views and input into<br>s and decisions - through issues to identify o<br>sed to inform decision alternatives for incorporation within a  |   | Council establishes a formal<br>structure, mechanism or framework<br>to delegate decision-making power.  |
| Degree of<br>Delegation                    | provision of information only.<br>Council retains responsibility for<br>final decision.   | views and opinions of participants<br>are considered. Council retains<br>responsibility for final decision.  | views and opinions of participants<br>are considered and stakeholders<br>may participate in decision making<br>process. Council retains<br>responsibility for final decision.  | stakeholders formulate options and<br>participate in decision making<br>process. Council retains<br>responsibility for final decision.  | decision making power delegated<br>to designated entity (delegation<br>may be limited to specific issue or<br>for prescribed time).  |
| General<br>Guidelines<br>for<br>Engagement | <ul> <li>information provided is targeted<br/>at defined audience and delivered<br/>in a way most likely to be accessed<br/>+ understood by this audience</li> <li>information provided is of <ul> <li>high quality</li> <li>consistent</li> <li>appropriately targeted</li> <li>timely</li> <li>clear and easily understood</li> </ul> </li> </ul> | <ul> <li>purpose of consultation is clear<br/>and non-negotiable matters<br/>identified</li> <li>sufficient time given for response<br/>+ information is simple and clear</li> <li>adequate resources allocated to<br/>the process</li> <li>feedback on results provided and<br/>views of those consulted are taken<br/>into account in the outcome</li> </ul> | <ul> <li>relevant people given opportunity<br/>to be involved</li> <li>equity + access issues are<br/>considered to ensure that people<br/>are not knowingly disadvantaged</li> <li>rules of engagement established<br/>- terms of reference, membership,<br/>decision making process, roles +<br/>responsibilities</li> </ul> | <ul> <li>extent of delegated decision-<br/>making power clearly defined</li> <li>rules of engagement established</li> <li>terms of reference, membership,<br/>decision making process, roles +<br/>responsibilities</li> <li>governance arrangements in<br/>place to deal with conflict of<br/>interest, accountability, meeting<br/>practice + code of conduct.</li> </ul> | <ul> <li>scope of shared power and/or decision making capabilities clearly defined</li> <li>roles and responsibilities clearly defined</li> <li>accountability issues considered</li> <li>governance arrangements in place to deal with conflict of interest, accountability, meeting practice + code of conduct.</li> </ul> |
| Expectations<br>+<br>Obligations           | <u>Council</u> will keep community<br>informed and identify contacts for<br>further information. Where feasible<br>Council will involve targeted<br>audience in developing information.   | <u>Council</u> will keep community<br>informed, listen to + acknowledge<br>concerns. Council will provide<br>feedback on how community input<br>influenced decision  | <u>Council</u> will work with reps. to<br>ensure that concerns + issues are<br>reflected in alternatives developed.<br>Council will provide feedback on<br>how input influenced decision.  | <u>Council</u> will work with reps and<br>accept advice and suggested<br>innovation or solutions. Council will<br>incorporate advice into decisions to<br>the maximum extent possible.  | <u>Council</u> will authorise community<br>entity to exercise delegated<br>authority and will implement<br>decision (subject to legislative and<br>operational constraints)  |
| (Council +<br>Community)                   | <u>Community</u> will consider<br>information provided and seek<br>further information if required.<br>Community will put necessary<br>changes into practice.   | <u>Community</u> will consider the<br>information provided and provide<br>informed comment/response.<br>Community will take up ownership<br>of decision (if based on their input)  | <u>Community</u> (appointed reps) will<br>work within framework resolved by<br>Council and align expectations<br>accordingly. Appointed reps will<br>accept consensus decision.  | <u>Community</u> (appointed reps) will<br>work within governance framework<br>and be mindful of accountability<br>principles. Will accept consensus<br>position.  | <u>Community</u> (appointed reps) will<br>work within governance framework<br>resolved by Council and maintain<br>high level of active engagement.<br>Will meet accountability principles.   |
| Community<br>Engagement<br>Tools           | <ul> <li>council notices</li> <li>briefings</li> <li>awareness</li> <li>campaign</li> <li>letterboxing</li> <li>discuss. paper</li> <li>media release</li> <li>displays</li> <li>publications</li> <li>web site</li> <li>info hotline</li> <li>open day</li> </ul>  | <ul> <li>public meeting</li> <li>survey</li> <li>interview</li> <li>focus group</li> <li>public exhibition</li> <li>electronic d'racy</li> <li>notification</li> <li>submissions</li> <li>field trip</li> <li>seminar</li> <li>address council</li> </ul>  | <ul> <li>community<br/>advisory<br/>committee</li> <li>precinct<br/>committee</li> <li>deliberative poll</li> <li>resident</li> <li>feedback register</li> <li>expert panel</li> <li>visioning</li> </ul>  | <ul> <li>mediation +<br/>negotiation</li> <li>future search<br/>conference</li> <li>community<br/>indicators</li> <li>workshops</li> <li>working party</li> <li>citizen jury</li> <li>reference<br/>group</li> </ul>  | 355 Committee     377 delegation     commission of     inquiry     inquiry     joint venture   |

Table 1: Hawkesbury City Council Framework for Community Engagement (based on the IAP2 Spectrum of Public Participation)

- 5.4.4 *Timing* Council will allocate sufficient time to a community engagement process to ensure that community members and other stakeholders are provided with a reasonable opportunity to participate and/or provide input. In some circumstances, or to meet statutory requirements, it may be necessary to restrict the time allocated for community engagement. Council will also have regard to time requirements or constraints which may hinder the participation of particular individuals or groups in community engagement activities.
- 5.4.5 *Transparency* Council's community engagement activities will be open and accountable. Council will endeavour to establish processes and protocols that can support constructive and ethical relationships which treat participants with respect, and are free from perceptions of hidden agendas. Council will implement mechanisms to facilitate reasonable attempts to resolve conflicts, if they arise, with a primary focus on supporting collaborative actions to achieve equitable solutions and outcomes.
- 5.4.6 Accountability Council will establish clear ground rules based upon full disclosure of the constraints that affect the decision making power of Council. Council will communicate their decision to those involved in the engagement process. Council will be prepared to act on the results of community engagement or, if unable to do so will give reasoned explanations as to why it is unable to do so.
- 5.5 Council recognises that community engagement is a two way process based on the notion of reciprocity. In seeking to engage community members and other stakeholders in community engagement activities, Council will clearly articulate its expectations and requirements to participants. Participants should be mindful of the six community engagement principles outlined above particularly in circumstances where community members and other stakeholders have been appointed by Council to represent their communities. Council requires that participants abide by the rules of their engagement with particular regard to governance matters (conflicts of interest, accountability, compliance with codes of meeting practice and codes of conduct).
- 5.6 Community engagement activities sanctioned by Council will not prejudice Council's ability to comply with its legislative, regulatory or statutory responsibilities. Council will also take into consideration the workplace and public safety risks associated with a community engagement activity and the resources and costs required to carry out the activity.

#### 6. Application

- 6.1 Hawkesbury City Council will apply community engagement strategies (of the type identified in Table 1) which are most appropriate to the circumstances and desired outcomes of any specific proposal for involving community members and other stakeholders in its decision making processes.
- 6.2 Council recognises that in order to accommodate the different demands and requirements for community participation, its community engagement activities will need to be flexible and responsive. Different issues and situations will call for different engagement methods. Council will therefore use a broad range of community engagement tools as outlined in *Attachment 1 Community Engagement Tools*, which is appended to this policy.

6.3 Where the need for community engagement has been identified, Council will undertake community engagement in broad conformance with the criteria outlined in <u>Table 2</u> - Hawkesbury City Council: Possible Triggers for Community Engagement. The particular community engagement strategy and community engagement tools to be used will be determined by an assessment of the potential community impact and geographic extent of a specific proposal, issue or plan.

| Level   | Criteria   | Description  | Examples  |
|---------|--|--|---|
| Level 1 | High level of<br>Impact on City<br>of Hawkesbury   | A proposal, issue or plan which -<br>• may impact on attributes considered to be of<br>high value to the City of Hawkesbury - natural<br>environment, heritage, economy, culture + social.<br>• may have an impact or influence on the health<br>safety or well being of the whole community<br>• may involve a high degree of community-wide<br>controversy or conflict<br>• likely to generate a high level of interest across<br>the City | <ul> <li>Management Plan + Budget</li> <li>Council Policies</li> <li>Local Environment Plan</li> <li>Development Control Plan (DCP)</li> <li>Strategic Plan</li> <li>Community Plans or Sector<br/>Strategies (Economic Development,<br/>Cultural Plan Crime Prevention Plan<br/>Social Plan, Vegetation Mapping etc.)</li> <li>Construction, removal, redevelopment or<br/>change to a district wide service or<br/>facility or infrastructure.</li> </ul> |
| Level 2 | High level of<br>impact on<br>local area<br>(township,<br>village, or<br>locality) or<br>defined<br>community<br>group | A proposal, issue or plan which -<br>• may impact on attributes considered to be of<br>high value to a local community<br>• may result in a significant change or loss to any<br>facility or service to a local community<br>• may involve a high degree of controversy or<br>conflict at a local level  | <ul> <li>Plans of Management, DCP for township<br/>or village, Masterplan</li> <li>Local service or facility plans</li> <li>Construction, removal, or redevelopment<br/>of a local community facility.</li> <li>Changes to local car parking or traffic<br/>management arrangements (in village or<br/>township centre) or road closures</li> <li>large scale commercial or multi-housing<br/>development proposals</li> </ul>                              |
| Level 3 | Low level of<br>impact on City<br>of Hawkesbury  | Ongoing large scale programs and activities which<br>may have an impact on the City of Hawkesbury but<br>which are non-controversial or unlikely to cause<br>conflict.   | <ul> <li>Change to a City wide service - closure of<br/>Council service on a public holiday</li> <li>change to customer service processes</li> <li>e.g payment of rates</li> <li>City wide events - Youth Week, NAIDOC<br/>celebrations</li> <li>changes to Council program or event.</li> </ul>  |
| Level 4 | Low level of<br>impact on a<br>local area  | Minor changes or improvements to a facility or<br>service at a local level unlikely to generate<br>controversy or conflict.  | <ul> <li>upgrade of local playground</li> <li>local streetscape or park upgrade<br/>(planting of trees, revegetation etc.)</li> <li>local road changes</li> <li>community building maintenance</li> <li>local events and celebrations</li> <li>residential development applications</li> </ul>  |
| Level 5 | Other (Legal<br>or Commercial<br>requirement)  | Circumstances in which Council may be required<br>to seek expert advice, or establish a partnership to<br>investigate a commercial arrangement or facilitate<br>the delegation of authority for a specific function or<br>service.   | <ul> <li>investigation of breaches of statutory or<br/>legislative requirements</li> <li>a commercial undertaking (commercial in<br/>confidence)</li> <li>delegations under Sec 377 of Local<br/>Government Act.</li> <li>establishment of a new service or project</li> </ul>  |

Table 2: Hawkesbury City Council: Possible Triggers Community Engagement

6.4 Where a requirement for community engagement has been identified, Council will in most circumstances undertake the minimum level of community engagement as recommended in <u>Table 3</u> - Hawkesbury City Council: Recommended (minimum) levels of Community Engagement. In some circumstances, or to meet statutory requirements, it may not be possible for Council to undertake community engagement prior to making a decision and/or taking action.

|         | criteria                              | inform                | consult                            | involve                            | collaborate                  | empower                      |
|---------|---------------------------------------|-----------------------|------------------------------------|------------------------------------|------------------------------|------------------------------|
| Level 1 | high level of Impact<br>on City       | recommended           | recommended                        | desirable in some<br>circumstances | may be<br>appropriate        | not generally<br>appropriate |
| Level 2 | high level of impact<br>on local area | recommended           | recommended                        | desirable in some<br>circumstances | may be<br>appropriate        | not generally<br>appropriate |
| Level 3 | low level of impact<br>on City        | recommended           | desirable in some<br>circumstances | may be<br>appropriate              | not generally<br>appropriate | not generally<br>appropriate |
| Level 4 | low level of impact on a local area   | recommended           | desirable in some<br>circumstances | may be<br>appropriate              | not generally<br>appropriate | not generally<br>appropriate |
| Level 5 | Other                                 | may be<br>appropriate | may be<br>appropriate              | may be<br>appropriate              | may be<br>appropriate        | may be<br>appropriate        |

Table 3: Hawkesbury City Council: Recommended (minimum) levels of Community Engagement

6.5 Where a requirement for community engagement has been identified, the responsible officer will prepare a community engagement plan. The community engagement plan will be prepared in accordance with approved *Operational Standards* and *Procedures*.

## 7. Delegations

7.1 The delegations and responsibilities of Council staff to initiate or undertake community engagement activities are set out in Council's *Operational Management Standard for Community Engagement*.

## References

- 1. Local Government Act 1993.
- 2. A New Direction for Local Government: A Position Paper, Department of Local Government, October 2006.
- 3. *Effective Engagement: building relationships with community and other stakeholders'*, the Department of Sustainability and Environment, Melbourne, 2005.
- 4. A Guide for Engaging Communities in Environmental Planning and Decision Making, Department of Environment and Conservation, NSW 2006.

January 2007

| ATTACHME                           |  | dience                                      | time  |                   | resources  | cost   |                    |   | input      | level             |                  |
|------------------------------------|--|---|---|-------------------|--|--|--------------------|---|------------|-------------------|------------------|
| Community<br>Engagement            | Tools medium: 11-3   | 30 people r                                 | short: less than (<br>ned: 6 wks to 6<br>ong: more thar | 6 months m        | w: less than 20 staff hrs<br>led: 20 to 200 staff hrs<br>igh: more than 200 hrs                              | low: less than \$1<br>med: \$1,000 to \$2<br>high: more than | 10,000 r           | ow: inform<br>med: issue:<br>nigh: stakel | s/opinions | considere         |                  |
| Tool                               | desci  | ription                                     |   | strategy          | outcor   | ne   | audience           | time                                      | resource   | cost              | input<br>level   |
| address<br>council<br>meeting      | community members apply to spe<br>Business Paper to present views in<br>questions which may be put by C  | regarding this item a                       |   | consult           | opportunity to address of communicate issues and decision making.  |  | small              | short                                     | low        | low               | medium           |
| awareness<br>campaign              | written presentation of information advertisements and inserts, electr and fact sheets.  |   |   | inform            | can increase awareness<br>proposal and may enco<br>change  |  | large              | medium                                    | medium     | medium            | low              |
| briefings                          | provision of information on a spec<br>audience (generally affected by pr<br>question-and-answer format. May  | roposal). Typically for                     | ollowed by  | inform<br>consult | Informed stakeholder gr<br>made for interaction, bri<br>feedback to inform decis                             | efings can provide   | medium<br>to large | medium                                    | medium     | medium            | low to<br>medium |
| community<br>advisory<br>committee | representatives from a particular of<br>appointed to provide advice and of<br>groups are generally invited to not<br>specific skills may be asked to join<br>committee has agreed life span. | comments on issue. I<br>minate although peo | Relevant<br>ple with                                    | involve           | community advisory cor<br>provide written advice to<br>specific issue and/or pla                             | o Council on a   | small to medium    | medium                                    | high       | medium            | medium           |
| citizen jury                       | Representative sample of (non-al<br>who are briefed in detail on a part<br>possible approaches and solution  | ticular issue and ask                       |   | collaborate       | citizen jury can deliver of<br>with recommendations f<br>directions for Council's of                         | or future action or  | medium             | medium<br>to long                         | high       | high              | high             |
| council<br>notices                 | written information included in councel newspaper  | uncil notices section                       | of local  | inform            | information communicat   | ted to community   | large              | short                                     | low        | low               | low              |
| commission<br>of inquiry           | formal mechanism established wit<br>investigate specific matter or incid   |   | al or panel to  | empower           | written report outlining firecommendations   | indings and  | small              | medium                                    | low        | high              | high             |
| community<br>indicators            | selected participants are invited to<br>future and identify ways of tracking<br>through the use of indicators.   |   |   | collaborate       | can provide a set of indi<br>community (+ Council)<br>progress in achieving ar                               | to keep track of   | large to medium    | medium<br>to long                         | high       | medium            | high             |
| deliberative<br>poll               | opinion poll involving a random sa<br>informed via briefing notes and/or<br>issue to enable issue to be consid   | r access to experts o                       |   | involve           | report which reflects info<br>opinion on an issue or p<br>may be distributed to wi                           | roposal. Report  | medium             | long to<br>medium                         | medium     | medium<br>to high | medium           |
| design<br>charette                 | an intensive workshop to facilitate<br>stakeholders & professionals to d<br>proposed development. Generally  | develop design soluti                       | ons for a   | involve           | shared information whic<br>agreed vision for a prop<br>development, area or pl<br>priority issues identified | osed<br>an based on  | small to medium    | short to<br>medium                        | High       | high              | medium           |
| discussion<br>paper                | research or policy document that proposal or project. Generally con<br>submissions or comment  |   |   | Inform<br>consult | background information<br>stakeholder groups affe<br>or issue to assist in deci                              | cted by proposal   | large              | medium                                    | high       | medium            | low              |

| ΤοοΙ                        | description   | strategy          | outcome  | audience           | time               | resource          | cost              | input<br>level   |
|-----------------------------|---|-------------------|--|--------------------|--------------------|-------------------|-------------------|------------------|
| displays +<br>exhibits      | intended to provide information and raise awareness about particular<br>issue. Can be interactive, or used as part of forum, workshop or<br>other event. Can include opportunities for participants to provide<br>feedback.   | inform<br>consult | display can provide a more concrete<br>concept of a proposal or development. If<br>provision made for interaction can provide<br>feedback to inform decision making  | large              | medium             | medium            | medium            | low to<br>medium |
| electronic<br>democracy     | use of e-mail or websites by people to register their opinions or<br>participate in 'on-line' voting on proposed developments, decisions,<br>projects, plans and other proposals. Findings can be reported as a<br>measure of community attitudes to inform decision making process.  | inform<br>consult | increase in number and variety of people<br>who exercise their democratic rights<br>through comments sent to decision<br>making bodies.  | large              | short to<br>medium | low to<br>medium  | medium            | low to<br>medium |
| expert panel                | a panel of experts engaged to provide specialised input and opinion<br>for a project. Often used when issue is highly contentious and<br>decisions may have legal ramifications or where best possible<br>results are required.   | involve           | a report which provides agreed informed<br>(expert) view on issue or proposal. Can<br>be made available to community and used<br>to inform Council decision making.  | medium             | medium             | medium            | medium<br>to high | medium           |
| field trips                 | organised trips (for the public) where participants visit physical sites.<br>Field trips aim to let people 'see for themselves' the place where a<br>development is proposed or provide the opportunity for a practical<br>demonstration. They can be used to provide information and may<br>include opportunities for participant input. | inform<br>consult | provide participants with understanding of<br>a place or practice to develop informed<br>opinions on a proposal or issue. Can<br>provide feedback to inform planning &<br>decision making                  | small to<br>medium | short to<br>medium | low to<br>medium  | low to<br>medium  | low to<br>medium |
| focus groups                | focus groups are generally used for exploratory studies. Issues that<br>emerge from focus group may be developed into questionnaire or<br>survey targeting wider community. Focus groups are used to find out<br>what issue are of most concern for a community when little or no<br>information is available.                            | consult           | A focus group can deliver more detailed<br>understanding and knowledge of an issue<br>that concerns a specific demographic or<br>community.  | medium<br>to small | medium             | low to<br>medium  | low to<br>medium  | medium           |
| future search<br>conference | a two-day meeting where participants attempt to create a shared<br>community vision of the future. Conference brings together<br>decision makers and people affected by decisions. Participants are<br>encouraged to explore the past, present and future and make action<br>plans based on common good.                                  | collaborate       | Conference develops a feasible (draft)<br>plan incorporating needs of decision<br>makers and persons affected by decision.<br>Plan is intended to allow a community to<br>reach a preferred future vision. | large              | medium             | medium            | medium            | high             |
| information<br>hotline      | pre-recorded information on a project via the telephone and/or<br>access to project team staff members who can answer questions or<br>provide additional information and assistance   | inform            | ensures that those who need to know<br>about an issue or event are informed<br>quickly, easily and efficiently   | large              | short              | medium            | medium            | low              |
| interviews                  | interviews with community members or key stakeholders generally<br>used to solicit detailed responses about a particular issue. Useful<br>when it is important to understand the views of certain people.   | consult           | can provide a broad overview on<br>participant opinions about a specific topic.<br>May reveal concerns or ideas not<br>expressed in a general survey.  | small              | short              | medium            | medium            | medium           |
| joint venture               | A formal arrangement with stakeholders or organisations to plan for<br>and achieve a project or service.  | empower           | Decision making in relation to the development of a service or project is delegated to stakeholders.   | small              | long               | medium<br>to high | medium<br>to high | high             |
| letterboxing                | A tool used to distribute written information to a targeted audience.<br>May include provision for a response to be forwarded to Council.   | inform<br>consult | information can be communicated to increase awareness of issue or proposal   | large              | short              | medium            | low               | low to<br>medium |

| Τοοί                             | description  | strategy          | outcome  | audience        | time               | resource         | cost              | input<br>level |
|----------------------------------|--|-------------------|--|-----------------|--------------------|------------------|-------------------|----------------|
| media<br>releases                | information released to various media corporations. Generally viewed as 'official' statements of Council position. Can be used to raise awareness and generate publicity   | inform            | wider awareness of an issue or proposal<br>can be achieved (if media release<br>published or broadcast)  | large           | short to medium    | low              | low               | low            |
| mediation +<br>negotiation       | negotiation is the process of searching for an agreement that<br>satisfies various parties. Mediation is an attempt to help parties in a<br>disagreement to hear one another's concerns in a non-hostile setting<br>to seek a compromise or mutually agreed outcome. | collaborate       | contentious issues can be discussed and agreements found in which differing opinions are considered and included.                              | small           | short              | low              | low               | high           |
| notification                     | Written correspondence advising community members and other<br>stakeholders of proposed development or other matter and inviting<br>submissions from them.   | consult           | issues/concerns communicated to Council to inform decision making process.   | small to medium | short to medium    | low to<br>medium | low               | medium         |
| open day                         | a relatively informal event to allow people to 'drop in' and obtain<br>information at their convenience. Can include display information<br>complimented by printed handouts. Brief presentations can also be<br>made. May include feedback opportunities            | inform<br>consult | informed stakeholder group. If provision<br>made for interaction can provide feedback<br>to inform planning & decision making                  | large           | short to<br>medium | medium           | low to<br>medium  | medium         |
| paid<br>advertisement            | advertisement placed in local newspaper (may be additional to<br>Council notice) to inform community of a proposal or event. Can be<br>used to raise awareness and generate publicity  | inform            | information can be communicated to increase awareness of issue or proposal   | large           | short to medium    | low              | medium            | low            |
| precinct<br>committee            | a form of community advisory committee, involving representatives<br>from a geographic area who are appointed to consider information<br>and provide advice in relation to plans, issues or proposed<br>developments with a defined area.                            | involve           | can provide advice on specific issues<br>and/or planning matter affecting a defined<br>area.   | medium          | medium<br>to long  | high             | medium            | medium         |
| publication                      | a written document which is generally made available to the public<br>or a targeted audience. Can be used to communicate information.<br>May also be produced regularly (eg. Community Newsletter, State<br>of Environment Report, Management Plan).                 | inform            | information can be communicated to<br>increase awareness of issue or proposal  | large           | short to<br>medium | medium           | medium<br>to high | low            |
| public<br>meeting                | a public meeting or community forum brings people together to<br>focus on a specific problem or purpose. Generally provides a good<br>focal point for media interest, and may act as a springboard for<br>further action.  | Inform            | information can be communicated to<br>increase awareness of issue or proposal.<br>Can be a starting point for further<br>community engagement. | large           | medium             | medium           | low to<br>medium  | low            |
| reference<br>group               | a form of community advisory committee where consumer and/or<br>stakeholder representatives are appointed to provide advice and<br>comments in relation to a specific service, project or event.<br>Generally employed to provide informed advice and input.         | collaborate       | Informed advice on project or event.   | medium          | medium<br>to long  | high             | medium            | high           |
| referenda                        | a formal plebiscite seeking community's preferred option in response<br>to a specific proposal or question.  | empower           | Provides a public mandate for action or decision.  | large           | medium<br>to long  | high             | high              | high           |
| resident<br>feedback<br>register | randomised sample of community members (which reflects the demography of an area) recruited to provide on-line advice. Participants are provided with information about an issue or proposal and asked to comment. Can be used to 'road-test' a proposal.            | involve           | can deliver an informed community view<br>about an issue or proposal. Can also<br>'road-test' an issue or proposal.                            | merge           | medium<br>to long  | high             | medium            | medium         |

| Tool              | description  | strategy                     | outcome   | audience           | time              | resource          | cost              | input<br>level   |
|-------------------|--|------------------------------|---|--------------------|-------------------|-------------------|-------------------|------------------|
| seminar           | a forum organised to share information, consider proposals,<br>innovations or solutions to a community issue or concern.   | consult                      | can deliver a report that which<br>incorporates seminar presentations. May<br>include recommendations or action plan<br>for future directions and outcomes. | large              | medium            | high              | medium            | low to<br>medium |
| survey            | Generally involves a questionnaire used to collect information<br>through face-to-face interviews, self-completion written forms,<br>telephone or electronic surveys.  | consult                      | surveys provide information about a<br>community and its opinions to inform<br>planning and decision making.  | large              | medium<br>to long | medium<br>to high | medium<br>to high | medium           |
| submissions       | submissions are intended to allow participants to respond to<br>proposals or ideas in some detail within a specified time frame.<br>Submissions are usually linked to the public exhibition of a plan,<br>policy or development proposal. The content of submissions are<br>generally reported to decision makers. | consult                      | issues/concerns communicated to Council to inform decision making   | large              | medium            | medium            | low               | medium           |
| 377<br>delegation | a mechanism by which Council can delegate certain functions to a committee or other entity.  | empower                      | decision making in relation to a specific<br>function is delegated to community<br>members and other stakeholders.  | small              | medium<br>to long | medium<br>to high | medium<br>to high | high             |
| visioning         | visioning exercises are used to define and help achieve a desirable<br>future. Generally used in urban and strategic planning to allow<br>participants to create images that can help to guide change. The<br>outcome of process is a long term plan.  | involve                      | future scenarios are developed together<br>with steps needed to achieve vision.<br>Participants have ownership of the vision.                               | large              | medium<br>to long | medium            | medium<br>to high | high             |
| workshops         | a structured forum where invited participants work together on a common problem or task. The focus is to resolve issues and build consensus for action (rather than provision of information).   | collaborate                  | workshops can deliver a report, plan or suggestions collaboratively developed and agreed to by participants.  | medium<br>to small | medium            | medium            | medium            | high             |
| working<br>party  | Group of people selected to work with Council to complete a task or<br>develop a new service or facility. Relevant groups are generally<br>invited to nominate although people with specific skills may be asked<br>to join. Members meet regularly - working party has agreed life<br>span.                       | collaborate                  | A report or project plan.   | medium             | medium<br>to long | high              | medium            | high             |
| web site          | web sites serve a variety of functions (electronic democracy, on-line surveys etc) Web sites can also act as community noticeboards and to communicate and update information  | inform<br>consult<br>involve | information can be communicated to<br>increase awareness of issue or proposal,<br>can also provide feedback on issues.                                      | large              | short             | medium            | medium            | low to<br>medium |